# Improved Monte Carlo Renormalization Group Method

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An extensive program to analyze critical systems using an improved Monte Carlo renormalization group method (IMCRG),<sup>(1)</sup> being undertaken at LANL and Cornell, is described. Here we first briefly rview the method and then list some of the topics being investigated.

**KEY WORDS:** Improved Monte Carlo renormalization group; block spins; renormalized couplings; renormalization group transformation.

## 1. INTRODUCTION TO MCRG

Renormalization group  $(RG)^{(2)}$  is a general framework for studying systems near their critical point where all length scales are important. The scaling properties associated with second-order phase transitions and the universal critical exponents have been calculated for many systems either analytically or by the Monte-Carlo renormalization group (MCRG) method.<sup>(2-5)</sup> The idea is as follows: Consider a magnetic system consisting of spins  $\{s\}$  on the sites of a *d*-dimensional lattice *L* described by a Hamiltonian *H* with all possible couplings  $\{K_{\alpha}\}$ . All thermodynamic quantities can be found from a detailed knowledge of the partition function

$$Z = \operatorname{tr} e^{-H} = \operatorname{tr} e^{K_{\alpha} S_{\alpha}} \tag{1}$$

where  $S_{\alpha}$  are the interactions.

In the standard MCRG method,<sup>(3-6)</sup> the spin configurations are generated with the Boltzmann factor  $e^{-H}$ . The renormalized theory—

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interaction of block spins  $\{s^1\}$  defined on the sites of a sublattice  $L^1$  with lattice spacing b times that of L—is defined by

$$e^{-H^1(s^1)} = \operatorname{tr} P(s^1, s) e^{-H(s)}$$
 (2)

where the projection operator  $P(s^1, s)$  (also called the renormalization group transformation (RGT)) should integrate out the short distance fluctuations but leave the long distance physics unchanged. It satisfies the constraint

$$tr^1 P(s^1, s) = 1 (3)$$

independent of the state  $\{s\}$ . This guarantees that the two theories have the same partition function.

Renormalization group is the study of the transformation  $H^1 = R(H)$ defined on the space of coupling constants  $\{K_{\alpha}\}$  of the model. At all fixed points  $H^*$ , which have a divergent correlation length, the theory is scaleinvariant. This is the source of the scaling functions observed in thermodynamic systems. A certain neighborhood, the set of critical points in the coupling space, forms the domain of attraction of the fixed point. The long-distance physics of all theories attracted by a given fixed point is the same, and under a RGT a critical Hamiltonian flows to the fixed point with the rate of flow given by the irrelevant eigenvalues. The relevant eigenvalue(s) give the rate of flow away from the fixed point (along the unstable direction) and are related to the critical exponent(s) v. In the standard MCRG method<sup>(6)</sup> these are calculated from the eigenvalues of the linearized transformation matrix  $T^n_{\alpha\beta}$  which is defined to be

$$T_{\alpha\beta}^{n} = \frac{\partial K_{\alpha}^{n}}{\partial K_{\beta}^{n-1}} = \frac{\partial K_{\alpha}^{n}}{\partial \langle S_{\sigma}^{n} \rangle} \frac{\partial \langle S_{\sigma}^{n} \rangle}{\partial K_{\beta}^{n-1}}$$
(4)

Each of the two terms on the right is a connected 2-point correlation function

$$\frac{\partial \langle S_{\sigma}^{n} \rangle}{\partial K_{\beta}^{n-1}} = \langle S_{\sigma}^{n} S_{\beta}^{n-1} \rangle - \langle S_{\sigma}^{n} \rangle \langle S_{\beta}^{n-1} \rangle$$
(5)

and

$$\frac{\partial \langle S_{\sigma}^{n} \rangle}{\partial K_{\beta}^{n}} = \langle S_{\sigma}^{n} S_{\beta}^{n} \rangle - \langle S_{\sigma}^{n} \rangle \langle S_{\beta}^{n} \rangle$$
(6a)

Here  $\langle S_{\sigma}^n \rangle$  are the expectation values on the *n*th renormalized lattice and

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 $K_{\sigma}^{n}$  are the corresponding couplings. The exponent v is found from the leading eigenvalue  $\lambda$  on  $T_{\alpha\beta}^{n}$  as

$$v = \frac{\ln b}{\ln \lambda} \tag{6b}$$

where b is the scale factor of the RGT. The accuracy of the calculated exponents improves if they are evaluated close to the fixed point. This can be achieved by starting from a critical point and blocking the lattice a sufficient number of times. The convergence is therefore limited by the starting lattice size and can be improved if  $H^n$  is used in the update. Thus it is important to determine the renormalized couplings  $\{K^n\}$ . The Achilles heel of this method is that as yet no way is known to determine the errors in the exponents obtained from a truncated set of matrices.

## 2. IMPROVED MCRG

In the improved MCRG method<sup>(1)</sup> the configurations  $\{s\}$  are generated with the weight

$$P(s^{1}, s) e^{-H(s) + H^{g}(s^{1})}$$
(7)

where  $H^g$  is a guess for the  $H^1$ . Using both site and block couplings eliminates the long-time correlations due to a divergent correlation length. If  $H^g = H^1$ , then the block spins are completely uncorrelated and

$$\langle S_{\alpha}^{1} \rangle = 0 \qquad \langle S_{\alpha}^{1} S_{\beta}^{1} \rangle = n_{\alpha} \,\delta_{\alpha\beta} \tag{8}$$

where for the Ising model (and most other models) the integer  $n_{\alpha}$  is simply a count of the number of terms (multiplicity) of interaction type  $S_{\alpha}$ . When  $H^{g} \neq H^{1}$ , then to first order

$$\langle S^1_{\alpha} \rangle = \langle S^1_{\alpha} S^1_{\beta} \rangle_{H^g = H^1} (K^1 - K^g)_{\beta}$$
<sup>(9)</sup>

and using (8), the renormalized couplings  $\{K_{\alpha}^{1}\}$  are determined with no truncation errors as

$$K_{\alpha}^{1} = K_{\alpha}^{g} + \langle S_{\alpha}^{1} \rangle / n_{\alpha} \tag{10}$$

This procedure can be iterated using  $H^{n-1}$  as the spin H in (7) to find  $H^n$ . If the irrelevant eigenvalues are small, then, after two or three repetitions of the RGT, the sequence  $H^n$  converges to the fixed point the update. This second limitation can be avoided by the following modification that allows a direct calculation of the *n*th renormalized Hamiltonian  $H^n$ . In (7) use  $H^g$  as the guess for  $H^n$ . The update now involves the original spins and the *n*th *level* block spins in the Boltzmann weight

$$P(s^{n}, s^{n-1}) \cdots P(s^{1}, s) e^{-H(s) + H^{g}(s^{n})}$$
(11)

Equations 8-10 are unchanged except that the *level* superscript is replaced by *n*, i.e. the *n*th *level* block-block correlation matrix is diagonal and given by (8). With this modification, the only limitation left is the size of the starting lattice.

The calculation of the LTM proceeds exactly as in the standard MCRG, i.e., (4) to (6). However, in the limit  $H^g = H^1$ , the block-block correlation matrix is diagonal and given by (8). Thus is has no truncation errors, can be inverted with impunity, and the final LTM elements are also free of all truncation errors. The only error is in finding the eigenvalues of a truncated matrix. A perturbation theory method to correct this error is described in Ref. 8.

To summarize, we find that simulating the system with several couplings and with both the block and site spins does complicate the program, but there are three very important advantages to this method:

- 1. Generating configurations according to (7) removes the long-time correlations, so there is a very large gain in statistics, i.e., thermal equilibrium is reached quickly and the correlation between successive sweeps is limited to a few (O(1)) passes.
- 2. The hardest part of such methods, an accurate calculation of  $\langle S_{\alpha}^{i} S_{\beta}^{i} \rangle$  for many long-range interactions, is known exactly. Also in the evaluation of the  $H^{1}$  and LTM, a truncation in the coupling constant space does not affect the results because this matrix is diagonal.
- 3. This method extends easily to lattice gauge theories and other spin models (see Ref. 9).<sup>3</sup>

# **3. CURRENT PROJECTS**

- 1. To find the fixed points for the d=2 and d=3 Ising models.
- 2. To investigate the effect of tuning the RGT. The important question here is: Is the fixed point moved along the redundant directions only when the RGT is changed? If so, can the calculation of the critical exponents be improved by tuning the RGT?
- 3. To obtain the parameters of the effective field theories obtained by applying IMCRG to Non-Abelian gauge theories.

<sup>&</sup>lt;sup>3</sup> These two references give a detailed introduction to Monte Carlo methods in spin and gauge systems.

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